



674-1135  
10/18/01  
**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No.: 104385.132)

Applicant(s): Hongsheng Su, et al.

Serial No.: 09/766873 Examiner: To Be Assigned

Filed: January 19, 2001 Group Art Unit: 1645

For: FLAVOBACTERIUM HEPARINUM EXPRESSION SYSTEM

Commissioner for Patents  
Washington, D.C. 20231

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*CERTIFICATE UNDER 37 C.F.R. 1.8(a)*

*I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.*

*October 14, 2001*

*Date of Signature*

*Anthony W. Sutton*

*Anthony W. Sutton*

**TRANSMITTAL LETTER**

Commissioner:

Enclosed for filing in the above-identified patent application are the following documents:

1. Supplemental Information Disclosure Statement;
2. PTO-Form 1449, including 2 references
3. Copy of the International Search Report from corresponding application No. PCT/IB01/00143; and
4. Return Post card.

No fees are believed to be due with this filing. However, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Date: October 11, 2001

Respectfully submitted,

*Gretchen A. Rice*

Gretchen A. Rice  
Registration No. 37,429

Hale and Dorr LLP  
60 State Street  
Boston, Massachusetts 02109  
Tel: (617) 526-6460  
Fax: (617) 526-5000



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*[Signature]*

*Anthony W. Sutton*

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner:

Applicants and their legal representatives hereby make of record on the attached PTO Form-1449 the following publications which are known to them and considered warranting disclosure under 37 C.F.R. §1.56. Copies of each of these publications are enclosed.

Applicants state under 37 C.F.R. §1.97(e)(1) that the publications were cited in an International Search Report issued in connection with a counterpart Patent Cooperation Treaty application No. PCT/IB01/00143 not more than three months prior to the filing of this statement. Also enclosed is a copy of the International Search Report for the Examiner's review.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Officer the relevant facts and law regarding the appropriate status of such documents.

09/766,873

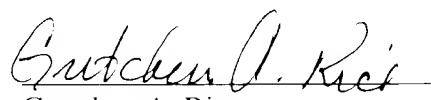
Hongsheng Su, et al.

Applicants further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper, therefore, no fees are believed to be due. However, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Date: October 11, 2001

  
Gretchen A. Rice  
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Boston, Massachusetts 02109  
Tel: (617) 526-6460  
Fax: (617) 526-5000